



### IPM PERSONAL PENSION SCHEME

This form acts as the Member's authorisation for I.P.M. SIPP Administration Limited and I.P.M. Personal Pension Trustees Limited (both for the purposes of this document to be referred to as IPM) to appoint the firm specified in Section 2 of this document as independent financial adviser to an existing IPM SIPP.

#### 1 MEMBER DETAILS

**Member's Name**

**Member's IPM SIPP Number**

The firm specified in Section 2 of this document is also to be appointed in the following roles in respect of my IPM SIPP

**Investment Advisor**

**Investment Administrator**

Additional IPM agreements are required to be completed by both the SIPP member and the adviser to confirm these appointments.

#### 2 ADVISER DETAILS

**Name of Authorised Person**

**Full name of Regulated Firm**

**Contact Address**

**Telephone**

**Fax**

**E-mail Address**

**Name of Regulator**

**Regulator's reference number (For Firm)**

**Regulator's reference number (For individual)**

Please confirm whether you are independent or restricted:  **Independent**  **Restricted**

If you are not directly regulated but instead regulated as an appointed representative or part of a network, please provide details:

**Name of principal or network**

**Regulator's reference no. for principal or network**

### 3 DETAILS OF ADVISER CHARGES

This section should be completed if the Member authorises IPM to pay adviser charges from the SIPP to the newly appointed adviser as specified in Section 2 of this document.

	Fixed Charges (Excl VAT)	and / or	Percentage charges (Excl VAT)	
<b>Initial charge</b>	<input type="text"/>		<input type="text"/>	<b>of the current fund value</b>
<b>On going charges</b>	<input type="text"/>		<input type="text"/>	<b>of the current fund value</b>

Any on going adviser charges should be paid on the basis specified below

**Annually**

**Quarterly**

**Monthly**

IPM will require an invoice to be submitted by the appointed adviser on an annual basis to facilitate on going charges, regardless of the frequency of payment.

### 4 MEMBER DECLARATION

I accept that IPM will correspond with my adviser shown in Section 2 of this document unless I give written notice to the contrary  
I authorise IPM to accept instructions from my adviser in relation to my SIPP membership unless I inform IPM in writing to the contrary. This may extend to Investment Instructions if my adviser is also to be appointed as my Investment Advisor.  
I authorise IPM to pay my adviser the adviser charges as specified in Section 3 of this document, where applicable  
I understand that an adviser charge will be paid from my SIPP bank account on receipt of an invoice or paid by my nominated investment manager to the firm detailed in Section 2 of this document, where applicable  
I confirm that any adviser charges are genuinely commercial arrangements between myself and my adviser and only relate to pensions advice and service provided  
I understand that VAT may be added to the above figures where necessary by my adviser. As such IPM has my authority to may the payment with the prevailing rate of VAT added to the above adviser charges.  
I authorise IPM to pay the adviser charges as specified in Section 3 of this document from the bank account held on for my benefit or realise investments attributable to me in order to pay such charges.  
I agree that any invoice received by IPM from a previous adviser, up to the date that this form is received by IPM, may be paid unless instructed otherwise by me.  
I understand that any invoice received by IPM from my previous adviser, after the date that this form is received by IPM, will not be paid unless I provide separate authority to IPM in writing.  
I understand that IPM will continue to arrange settlement of any fees as specified in Section 3 of this document to the Firm detailed in Section 2 of this document until such time as I notify IPM in writing to the contrary

**Member's Signature**

**Date**

### 5 ADVISER DECLARATION

I confirm that I have the appropriate authorisation to sign this declaration for the Firm detailed in Section 2 of this document and that we have been appointed as independent financial adviser to the member detailed in Section 1 of this document.  
I understand that IPM will not settle any fees due to the firm detailed in Section 2 of this document until IPM receives an invoice which requests payment in line with the agreed fee structure as specified in Section 3 of this document.  
I confirm that any adviser charges are genuinely commercial arrangements between my firm and the member detailed in Section 1 of this document and only relate to pensions advice and service provided  
I confirm that should the firm detailed in Section 2 of this document cease to provide an on going service to the member detailed in Section 1 of this document the Firm will notify IPM in writing and any on going adviser charges will cease to be paid  
I confirm that I will / will not\* become the SIPP Member's Investment Adviser (please delete as appropriate)  
**(Please note that it is not compulsory for an Investment Adviser to be appointed. If one is not appointed however, the responsibility for investment decisions will fall upon the member)**  
I confirm that I will / will not\* become the SIPP Member's Investment Administrator (please delete as appropriate)  
**(Please note that it is compulsory for a firm to be appointed in the role of Investment Administrator to a member's SIPP)**  
The Firm detailed in Section 2 accepts responsibility where they have agreed to be appointed as Investment Adviser to ensure that the instructions they or any of their employees or agents give to any investment house to purchase investments will be in accordance with the latest available Permitted Investments list. This includes a requirement not to purchase investments that could give rise to a tax charge or liability to taxable property as defined under Part 2 Schedule 29A of the Finance Act 2004. If an investment is purchased that is not permitted by IPM, the Firm agrees to indemnify IPM for any loss, including any tax charge or penalty levied by HM Revenue & Customs on IPM, as a direct result of the SIPP member holding such an investment.

**Adviser's signature**

**Date**