



IPM PERSONAL PENSION SCHEME

1 PRIVATE INDIVIDUAL - INTRODUCTION BY AN FCA-REGULATED FIRM

1. DETAILS OF INDIVIDUAL (see explanatory notes below)

Full name of customer

Current address

Postcode

Previous address (if individual has changed address in the last three months)

Postcode

Date of birth

Please certify whether the individual is any of the following:

A politically exposed person (PEP)

A family member of a PEP

A known close associate of a PEP

2. CONFIRMATION

I/we confirm that:

(a) the information in section 1 above was obtained by me/us in relation to the customer;

(b) the evidence I/we have obtained to verify the identity of the customer:

(tick only one)

meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signed:

Name:

Position:

Date:

3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

Full name of regulated firm (or sole trader)

FCA reference number

2 EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - a) those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - b) those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - c) those whose identity has been verified using the source of funds as evidence.

3. A politically exposed person (PEP) is defined as an individual entrusted with prominent public functions. This includes (but is not limited to) heads of state, government ministers and deputy ministers, members of parliament or similar legislative bodies, and senior members of the military and judiciary.

A known close associate of a PEP is defined as including:

 - an individual known to have joint beneficial ownership of a legal entity or a legal arrangement or any other close business relationship with a politically exposed person
 - an individual who has sole beneficial ownership of a legal entity or a legal arrangement that is known to have been set up for the benefit of a PEP

4. This confirmation must carry an original signature.

I.P.M. SIPP Administration Limited is Authorised and Regulated by the Financial Conduct Authority .

For additional information regarding the IPM Personal Pension Scheme, please contact either Jonathan Lochery or James Randall on: 01438 747 151



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